BEFORE THE ARIZONA STATE BOARD OF TECHNICAL REGISTRATION

3	In the Matter of:	Case No.: P22-068
4 5 6	Paul Walter Architect Registration No. 60573	CONSENT AGREEMENT and ORDER OF DISCIPLINE
7	Paul R. Walter, Architect, PLLC. Firm Registration No. 19612	
8	Respondent	

In the interest of a prompt and judicious resolution of the above-captioned matter before the Arizona State Board of Technical Registration ("Board") and consistent with the public interest, statutory requirements, and the responsibilities of the Board, and pursuant to A.R.S. § 32-101 et seq., and A.A.C. R4-30-120(G), the undersigned party, Paul Walter ("Respondent"), holder of Registration No. 60573, and Paul R. Walter, Architect, PLLC. ("Respondent Firm"), holder of Registration No. 19612 and the Board enter into the following Recitals, Findings of Fact, Conclusions of Law and Order ("Consent Agreement") as a final disposition of this matter.

RECITALS

- 1. Respondent has read and understands this Consent Agreement and has had the opportunity to discuss this Consent Agreement with an attorney, or has waived the opportunity to discuss this Consent Agreement with an attorney.
- 2. Respondent understands that he has a right to a public administrative hearing concerning this case. He further acknowledges that at such formal hearing he could present evidence and cross-examine witnesses. By entering into this Consent Agreement, Respondent knowingly, voluntarily, and irrevocably waives his right to such an administrative hearing, as well as rights of rehearing, review, reconsideration, appeal, judicial review or any other administrative and/or judicial action concerning the matters set forth herein.

- 3. Respondent affirmatively agrees that this Consent Agreement shall be irrevocable.
- 4. Respondent understands that this Consent Agreement or any part of the agreement may be considered in any future disciplinary action by the Board against him.
- 5. The Consent Agreement, any record prepared in this matter, all investigative materials prepared or received by the Board and all related exhibits and materials, are public records upon acceptance by the Board of this Consent Agreement and may be retained in the Board's files pertaining to this matter.
- 6. Respondent understands this Consent Agreement deals with Board case number P22-068 involving allegations that Respondent engaged in conduct that would subject him to discipline under the Board's statutes and rules. The investigation into these allegations against Respondent shall be concluded upon the Board's adoption of this Consent Agreement.
- 7. Respondent understands that this Consent Agreement does not constitute a dismissal or resolution of any other matters currently pending before the Board, if any, and does not constitute any waiver, express or implied, of the Board's statutory authority or jurisdiction regarding any other pending or future investigation, action or proceeding.
- 8. Respondent also understands that acceptance of this Consent Agreement does not preclude any other agency, subdivision, or officer of this State from instituting any other civil or criminal proceedings with respect to the conduct that is the subject of this Consent Agreement.
- 9. Respondent acknowledges and agrees that, upon signing this Consent Agreement and returning this document to the Board's Executive Director, he may not revoke his acceptance of the Consent Agreement or make any modifications to the document regardless of whether the Consent Agreement has been signed on behalf of the Board. Any modification to this original document is ineffective and void unless mutually agreed by the parties in writing.

- 10. This Consent Agreement is subject to the approval of the Board and is effective only when accepted by the Board and signed on behalf of the Board. If the Board does not accept this Consent Agreement, the Board retains its authority to hold a formal administrative hearing pursuant to A.R.S. § 32-128(E). In the event that the Board does not approve this Consent Agreement, it is withdrawn and shall be of no evidentiary value and shall not be relied upon nor introduced in any action by any party, except that the parties agree that should the Board reject this Consent Agreement and this case proceeds to hearing, Respondent shall assert no claim that the Board was prejudiced by its review and discussion of this document or any records relating thereto.
- 11. If a court of competent jurisdiction rules that any part of this Consent Agreement is void or otherwise unenforceable, the remainder of the Consent Agreement shall remain in full force and effect.
- 12. Respondent understands that any violation of this Consent Agreement may result in disciplinary action, including suspension or revocation of the registration under A.R.S. § 32-150.
- 13. Respondent agrees that the Board will adopt the following Findings of Fact, Conclusions of Law and Order.

FINDINGS OF FACT

- 1. The Board is the duly constituted authority for the regulation and control of the practice of Architecture and Professional Engineering in the State of Arizona.
 - 2. Respondent is the holder of Arizona Architect Registration No. 60573.
 - 3. Respondent Firm is the holder of Arizona Firm Registration No. 19612.
- 4. On April 29, 2022, the Board received a complaint in which Respondent was alleged to have signed and sealed design plans and structural calculations, prepared by Alleger, related to a remodel project in Cornville, Arizona. The complaint included an allegation that Respondent, owner of Respondent Firm, and Respondent Firm engaged in the practice of architecture and engineering without firm registration with the Board.
 - 5. On June 28, 2021, Respondent prepared a letter with Respondent's

professional seal that was sent to Alleger. The letter acknowledged the responsibility of the remodel project and specifically requested "files of all drawings and related details" previously prepared by Alleger.

- 6. On March 29, 2022, Respondent applied his professional seal and signature on general structural notes prepared by Alleger and not himself. The professional documents were later submitted to Yavapai County Development Services.
- 7. On March 29, 2022, Respondent submitted professional documents to Yavapai County Development Services that included general structural notes and design drawings for the project, which identified Respondent as the individual that drew the exhibits of A101-A104, despite the fact that Alleger actually drew the exhibits.
- 8. On May 27, 2022, Respondent in his written response to the complaint, admitted to "inadvertently" missing the renewal date of Firm Registration and also having "resubmitted drawings and calculations previously completed by" Alleger with Respondent's Professional seal and signature.
- 9. Board records confirmed Respondent Firm's registration was expired on February 15, 2022, prior to Respondent's preparation and sealing of professional documents on behalf of Respondent Firm on March 29, 2022.
- 10. On August 18, 2022, a Yavapai County official confirmed Respondent submitted signed and sealed professional documents largely prepared by Alleger rather than entirely by Respondent.
- 11. On August 24, 2022, Respondent specifically admitted to signing and sealing documents not prepared by himself as well as specifically identifying himself as having completed the related drawings knowing that was untrue, but emphasized doing so "mistakenly."

CONCLUSIONS OF LAW

- 1. The Board has jurisdiction in this matter pursuant to A.R.S. § 32-101, et seq.
- 2. The conduct alleged in the Findings of Fact constitutes grounds for discipline pursuant to A.R.S. § 32-128(C)(4) as it relates to A.A.C. R4-30-301(16), in that

Respondent signed and sealed professional documents not prepared by himself or his bona fide employee.

- 3. The conduct alleged in the Findings of Fact constitutes grounds for discipline pursuant to A.R.S. § 32-128(C)(4) as it relates to A.A.C. R4-30-301(2), in that Respondent misrepresented himself, when he identified himself as preparing Professional Documents that were in fact prepared by Alleger.
- 4. The conduct alleged in the Findings of Fact constitutes grounds for discipline pursuant to A.R.S. § 32-121, A.R.S. § 32-141 and A.R.S. § 32-128(C)(4) as it relates to A.A.C. R4-30-301(4), in that Respondent Firm practiced a Board regulated profession without firm registration.

ORDER

Based on the foregoing Findings of Fact and Conclusions of Law, the Board issues the following Order:

- 1. LETTER OF REPRIMAND. Respondent is hereby issued a Letter of Reprimand.
- 2. STAYED SUSPENSION AND PROBATION. Respondent's registration as Architect, No. 60573, shall be suspended for (6) six months; however, the suspension is stayed for as long as Respondent remains in compliance with this Order. During the stay of suspension, Respondent's registration as an Architect is placed on probation for (6) six months. If Respondent is non-compliant with any terms of this Order during the (6) six months stayed suspension and probation period, the stay of the suspension shall be lifted and Respondent's registration as an Architect shall be automatically suspended without a formal hearing, and remain suspended until Respondent is compliant with all terms of this Order.
- 3. ADMINISTRATIVE PENALTY. Within six (6) months from the effective date of this Consent Agreement, Respondent shall pay an administrative penalty of Three Thousand Two Hundred Fifty Dollars (\$3,250.00) by certified check or money order made payable to the State of Arizona Board of Technical Registration.

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- 4. COST OF INVESTIGATION. Within thirty (30) days from the effective date of this Consent Agreement, Respondent shall pay the cost of investigation of this case to the Board in the amount of Three Hundred Ninety-Seven Dollars (\$397.00) by certified check or money order made payable to the State of Arizona Board of Technical Registration, according to the provisions of A.R.S. § 32-128(H).
- 5. OBEY ALL LAWS. Respondent shall obey all federal, state and local laws, as well as, all rules governing the practice of Architecture in the State of Arizona. The Board shall consider any violation of this paragraph to be a separate violation of the rules and statues governing the Arizona Board of Technical Registration. The Board may also consider Respondent's non-compliance with this Order as a separate violation of A.R.S. § 32-150.
- 6. RENEWAL OF REGISTRATION. Respondent and Respondent Firm shall timely renew their Arizona registration as an Architect and an Architectural Firm, and timely pay all required registration fees.
- 7. EFFECTIVE DATE. The effective date of this Consent Agreement is the date the Respondent and Board sign the Consent Agreement. If the dates are different, the effective date is the later of the two dates.
- COSTS OF COMPLIANCE. Respondent shall pay all costs associated with complying with this Consent Agreement.
- NONCOMPLIANCE. If Respondent violates this Order in any way or fails to fulfill the requirements of this Order, the Board, after giving notice and the opportunity to be heard, may revoke, suspend or take other disciplinary actions against the registration. The issue at such a hearing will be limited solely to whether this Order has been violated.

ACCEPTED and ORDERED this 27 day of September, 2022.

Jack Gilmore, L.A., Chairman

Arizona State Board of

Technical Registration Consent Agreement and Order, No. P22-068 accepted this 25 day of AUGUST , 2022. Vaul Walter, Respondent, on behalf of himself and on behalf of Paul R. Walter, Architect, PLLC, Respondents ORIGINAL filed this 22 day of enterly 2022, with: Arizona State Board of Technical Registration 1110 W. Washington, Suite 240 Phoenix, AZ 85007 COPY of the foregoing mailed via Certified Mail No. 9214 8901 9434 4600 0000 0812 9 4 and First Class mail this 28 day of ________, 2022, to: Paul Walter Paul R. Walter, Architect, PLLC. 19055 E. Pear Tree Drive Cornville, AZ 86325 CM Rody BTR Investigator