BEFORE THE ARIZONA STATE BOARD OF TECHNICAL REGISTRATION

Case No.: P23-046

Robert Acken Registered Lands Surveyor Registration No. 41659

In the Matter of:

CONSENT AGREEMENT and ORDER OF DISCIPLINE

Respondent

In the interest of a prompt and judicious resolution of the above-captioned matter before the Arizona State Board of Technical Registration ("Board") and consistent with the public interest, statutory requirements, and the responsibilities of the Board, and pursuant to A.R.S. § 32-101 et seq., and A.A.C. R4-30-120(G), the undersigned party, Robert Acken ("Respondent"), holder of Registration No. 41659, and the Board enter into the following Recitals, Findings of Fact, Conclusions of Law and Order ("Consent Agreement") as a final disposition of this matter.

RECITALS

- 1. Respondent has read and understands this Consent Agreement and has had the opportunity to discuss this Consent Agreement with an attorney, or has waived the opportunity to discuss this Consent Agreement with an attorney.
- 2. Respondent understands that he has a right to a public administrative hearing concerning this case. He further acknowledges that at such formal hearing he could present evidence and cross-examine witnesses. By entering into this Consent Agreement, Respondent knowingly, voluntarily, and irrevocably waives his right to such an administrative hearing, as well as rights of rehearing, review, reconsideration, appeal, judicial review or any other administrative and/or judicial action concerning the matters set forth herein.
- 3. Respondent affirmatively agrees that this Consent Agreement shall be irrevocable.

- 4. Respondent understands that this Consent Agreement or any part of the agreement may be considered in any future disciplinary action by the Board against him.
- 5. The Consent Agreement, any record prepared in this matter, all investigative materials prepared or received by the Board and all related exhibits and materials, are public records upon acceptance by the Board of this Consent Agreement and may be retained in the Board's files pertaining to this matter.
- 6. Respondent understands this Consent Agreement deals with Board case number P23-046 involving allegations that Respondent engaged in conduct that would subject him to discipline under the Board's statutes and rules. The investigation into these allegations against Respondent shall be concluded upon the Board's adoption of this Consent Agreement.
- 7. Respondent understands that this Consent Agreement does not constitute a dismissal or resolution of any other matters currently pending before the Board, if any, and does not constitute any waiver, express or implied, of the Board's statutory authority or jurisdiction regarding any other pending or future investigation, action or proceeding.
- 8. Respondent also understands that acceptance of this Consent Agreement does not preclude any other agency, subdivision, or officer of this State from instituting any other civil or criminal proceedings with respect to the conduct that is the subject of this Consent Agreement.
- 9. Respondent acknowledges and agrees that, upon signing this Consent Agreement and returning this document to the Board's Executive Director, he may not revoke his acceptance of the Consent Agreement or make any modifications to the document regardless of whether the Consent Agreement has been signed on behalf of the Board. Any modification to this original document is ineffective and void unless mutually agreed by the parties in writing.
- 10. This Consent Agreement is subject to the approval of the Board and is effective only when accepted by the Board and signed on behalf of the Board. If the Board does not accept this Consent Agreement, the Board retains its authority to hold a

formal administrative hearing pursuant to A.R.S. § 32-128(E). In the event that the Board does not approve this Consent Agreement, it is withdrawn and shall be of no evidentiary value and shall not be relied upon nor introduced in any action by any party, except that the parties agree that should the Board reject this Consent Agreement and this case proceeds to hearing, Respondent shall assert no claim that the Board was prejudiced by its review and discussion of this document or any records relating thereto.

- 11. If a court of competent jurisdiction rules that any part of this Consent Agreement is void or otherwise unenforceable, the remainder of the Consent Agreement shall remain in full force and effect.
- 12. Respondent understands that any violation of this Consent Agreement may result in disciplinary action, including suspension or revocation of the registration under A.R.S. § 32-150.
- 13. Respondent agrees that the Board will adopt the following Findings of Fact, Conclusions of Law and Order.

FINDINGS OF FACT

- 1. The Board is the duly constituted authority for the regulation and control of the practice of Land Surveying in the State of Arizona.
 - 2. Respondent is the holder of Arizona Land Surveyor Registration No. 41659.
- 3. On or about December 16, 2021, Monica Acuna Arizmendi, ("Non-Registrant") filed a Record of Survey with the County Recorder in Santa Cruz County, Arizona. The Record of Survey listed Non-Registrant in the title block and contained an affixed seal belonging to Respondent.
- 4. On or about August 11, 2022, Board staff received a copy of the Record of Survey from the Santa Cruz County Recorder's office in response to a records request filed by Board staff during the investigation of case P22-049.
- 5. On or about August 12, 2022, Board staff spoke with Respondent.
 Respondent acknowledged that Non-Registrant completed the "field work" and prepared the Record of Survey. Respondent indicated that Non-Registrant provided the Record of

Survey to him for review prior to his seal being affixed to it. Respondent further acknowledged that Non-Registrant was not his bona fide employee, and said he received monetary payment from Non-Registrant for his involvement.

- 6. On or about December 16, 2022, Board staff received a written statement from Respondent in response to a notice of investigation for this case, P23-046. In his written statement, Respondent indicated that he supervised Non-Registrant during the preparation of the Record of Survey by reviewing her initial draft of the Record of Survey, and giving her "redline" comments to guide her in making revisions to the Record of Survey. Respondent further indicated that when he reviewed the final version of the Record of Survey, after it had been recorded, he found that some of his "redline" comments were not adhered to.
- 7. On or about January 3, 2023, Board staff received a written statement from Non-Registrant in response to a notice of investigation for case P23-045. Non-Registrant acknowledged that she conducted the "field work" and prepared the Record of Survey. Non-Registrant indicated that she provided her initial draft of the Record of Survey to Respondent for review, and he responded with some "redline" comments for her to make revisions. Non-Registrant indicated that after she revised the Record of Survey, per Respondent's "redline" comments, Respondent provided her with a digital copy of his signature and professional seal, via email, to affix to the document for recording. Non-Registrant acknowledged that she affixed Respondent's signature and professional seal to the Record of Survey prior to recording it, but said she did so with Respondent's authorization.
- 8. On or about January 4, 2023, Respondent confirmed that he provided Non-Registrant with a digital copy of his signature and professional seal, authorizing her to affix it to the Record of Survey, so it could be recorded.

CONCLUSIONS OF LAW

- 1. The Board has jurisdiction in this matter pursuant to A.R.S. § 32-101, et seq.
- 2. The conduct alleged in the Findings of Fact constitutes grounds for discipline

pursuant to A.R.S. § 32-128(C)(4) as it relates to A.A.C. R4-30-301(16), in that Respondent signed and sealed professional documents not prepared by himself or his bona fide employee.

ORDER

Based on the foregoing Findings of Fact and Conclusions of Law, the Board issues the following Order:

- 1. LETTER OF REPRIMAND. Respondent is hereby issued a Letter of Reprimand.
- 2. STAYED SUSPENSION AND PROBATION. Respondent's registration as a Land Surveyor, No. 41659, shall be suspended for Six (6) months; however, the suspension is stayed for as long as Respondent remains in compliance with this Order. During the stay of suspension, Respondent's registration as a Land Surveyor is placed on probation for Six (6) months. If Respondent is non-compliant with any terms of this Order during the Six (6) months stayed suspension and probation period, the stay of the suspension shall be lifted and Respondent's registration as a Land Surveyor shall be automatically suspended without a formal hearing, and remain suspended until Respondent is compliant with all terms of this Order.
- 3. ADMINISTRATIVE PENALTY. Within Six (6) months from the effective date of this Consent Agreement, Respondent shall pay an administrative penalty of One Thousand Dollars (\$1000.00) by certified check or money order made payable to the State of Arizona Board of Technical Registration.
- 4. COST OF INVESTIGATION. Within Sixty (60) days from the effective date of this Consent Agreement, Respondent shall pay the cost of investigation of this case to the Board in the amount of One Hundred Thirty Dollars (\$130.00) by certified check or money order made payable to the State of Arizona Board of Technical Registration, according to the provisions of A.R.S. § 32-128(H).
- 5. OBEY ALL LAWS. Respondent shall obey all federal, state and local laws, as well as, all rules governing the practice of Land Surveying in the State of Arizona.

The Board shall consider any violation of this paragraph to be a separate violation of the rules and statues governing the Arizona Board of Technical Registration. The Board may also consider Respondent's non-compliance with this Order as a separate violation of A.R.S. § 32-150.

- 6. RENEWAL OF REGISTRATION. During the probationary period, Respondent shall timely renew his Arizona registration as a Land Surveyor, and timely pay all required registration fees.
- 7. EFFECTIVE DATE. The effective date of this Consent Agreement is the date the Respondent and Board sign the Consent Agreement. If the dates are different, the effective date is the later of the two dates.
- 8. COSTS OF COMPLIANCE. Respondent shall pay all costs associated with complying with this Consent Agreement.
- 9. NONCOMPLIANCE. If Respondent violates this Order in any way or fails to fulfill the requirements of this Order, the Board, after giving notice and the opportunity to be heard, may revoke, suspend or take other disciplinary actions against the registration. The issue at such a hearing will be limited solely to whether this Order has been violated.

ACCEPTED and ORDERED this 25 day of MAZUL, 2023

Jack Gilmore, L.A., Chairman Arizona State Board of Technical Registration

Consent Agreement and Order, No. P23-046 accepted this 3 day of MARCH, 2023.

Robert Acken, Respondent

ORIGINAL filed this 29th day of March_____, 2023, with: Arizona State Board of Technical Registration 1110 W. Washington, Suite 240 Phoenix, AZ 85007 COPY of the foregoing mailed via Certified Mail No. 9214 8901 9434 4600 0917 23 First Class mail this 29th day of March _____, 2023, to: Robert Acken 39883 S. Dodge Canyon Rd. Tucson, AZ 85739 By: _ Daniel Carthel Daniel Carthel